IN-SPIL 2008.doc Submittal: July 1, 2007

State: **INDIANA**

STATE PLAN FOR INDEPENDENT LIVING (SPIL)

Chapter 1, Title VII of the Rehabilitation Act of 1973, as Amended

STATE INDEPENDENT LIVING SERVICES (SILS) PROGRAM PART B

CENTERS FOR INDEPENDENT LIVING (CIL) PROGRAM PART C

FISCAL YEARS 2008-2010

Effective Date: October 1, 2007

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Section 1: Legal Basis and State Certifications (Sec. 704 of the Act)

- 1.1 The designated State unit (DSU) eligible to submit the State Plan for Independent Living (SPIL or the plan) and authorized under State law to perform the functions of the State under the State Independent Living Services (SILS) and Centers for Independent Living (CIL) programs is <u>Division of Disability and Rehabilitative Services</u>. 34 CFR 76.104(a)(1) and (2); 34 CFR 364.22(a)
- 1.2 The separate State agency eligible to submit the plan and authorized under State law to provide vocational rehabilitation (VR) services to individuals who are blind is <u>Blind and Visually Impaired Services</u>. 34 CFR 76.104(a)(1) and (2); 34 CFR 364.20(d) and 364.22(c)
- 1.3 The Statewide Independent Living Council (SILC) that meets the requirements of section 705 of the Act and is authorized to perform the functions outlined in section 705(c) of the Act in the State is Indiana Council on Independent Living. 34 CFR 364.21(a)
- 1.4 The DSU and, if applicable, the separate State agency authorized to provide VR services to individuals who are blind, and the SILC are authorized to jointly develop, sign and submit this SPIL on behalf of the State, and have adopted or otherwise formally approved the SPIL. 34 CFR 76.104(a)(7); 34 CFR 364.20(c) and (d)
- 1.5 The DSU, and, if applicable, the separate State agency authorized to provide VR services to individuals who are blind, may legally carry out each provision of the plan and will comply with all applicable Federal statutes and regulations in effect with respect to the three-year period it receives funding under the SPIL. 34 CFR 76.104; 34 CFR 80.11(c)
- 1.6 The SPIL is the basis for State operation and administration of the program. All provisions of the SPIL are consistent with State law. 34 CFR 76.104(a)(4) and (8)
- 1.7 The representative of the DSU and, if applicable, of the separate State agency authorized to provide VR services to individuals who are blind, who has the authority under State law to receive, hold, and disburse Federal funds made available under the SPIL and to submit the SPIL jointly with the SILC chairperson is Michael Hedden, Director-Bureau of Rehabilitation Services, Division of Disability and Rehabilitative Services and Peter Bisbecos, Director, Division of Disability and Rehabilitative Services. 34 CFR 76.104(a)(5) and (6)

Section 2: SPIL Development

2.1 The plan shall be reviewed and revised not less than once every three years, to ensure the existence of appropriate planning, financial support and coordination, and other assistance to appropriately address, on a statewide and comprehensive basis, the needs in the State for:

- the provision of State independent living services;
- the development and support of a statewide network of centers for independent living;
 and
- working relationships between programs providing independent living services and independent living centers, the vocational rehabilitation program established under Title I, and other programs providing services for individuals with disabilities. 34 CFR 364.20(f)
- 2.2 The DSU and SILC conduct public meetings to provide all segments of the public, including interested groups, organizations and individuals, an opportunity to comment on the State plan prior to its submission to the Commissioner and on any revisions to the approved State plan. $34 \ CFR \ 364.20(g)(1)$
- 2.3 The DSU and SILC establish and maintain a written description of procedures for conducting public meetings in accordance with the following requirements. The DSU and SILC shall provide:
 - appropriate and sufficient notice of the public meetings (that is, at least 30 days prior to the public meeting through various media available to the general public, such as newspapers and public service announcements, and through specific contacts with appropriate constituency groups and organizations identified by the DSU and SILC);
 - reasonable accommodation to individuals with disabilities who rely on alternative modes of communication in the conduct of the public meetings, including providing sign language interpreters and audio-loops; and
 - public meeting notices, written material provided prior to or at the public meetings, and the approved State plan in accessible formats for individuals who rely on alternative modes of communication. 34 CFR 364.20(g)(2)
- 2.4 At the public meetings to develop the State plan, the DSU and SILC identify those provisions in the SPIL that are State-imposed requirements beyond what would be required to comply with the regulations in 34 CFR parts 364, 365, 366, and 367. 34 CFR 364.20(h)
- 2.5 The DSU will seek to incorporate into, and describe in, the State plan any new methods or approaches for the provision of IL services to older individuals who are blind that are developed under a project funded under Chapter 2 of Title VII of the Act and that the DSU determines to be effective. 34 CFR 364.28
- 2.6 The DSU and SILC actively consult, as appropriate, in the development of the State plan with the director of the Client Assistance Program (CAP) authorized under Section 112 of the Act. 34 CFR 364.20(e)

Section 3: Independent Living Services

- 3.1 The State, directly or through grants or contracts, will provide IL services with Federal, State, or other funds. 34 CFR 364.43(b)
- 3.2 Independent living services shall be provided to individuals with significant disabilities in accordance with an independent living plan mutually agreed upon by an appropriate staff member of the service provider and the individual, unless the individual signs a waiver stating that such a plan is unnecessary. 34 CFR 364.43(c)
- 3.3 All service providers will use formats that are accessible to notify individuals seeking or receiving IL services under Chapter 1 of Title VII about:
 - the availability of the CAP authorized by Section 112 of the Act;
 - the purposes of the services provided under the CAP; and
 - how to contact the CAP. 34 CFR 364.30
- 3.4 Participating service providers meet all applicable State licensure or certification requirements. 34 CFR 365.31(c)

Section 4: Eligibility

- 4.1 Any individual with a significant disability, as defined in 34 CFR 364.4(b), is eligible for IL services under the SILS and CIL programs authorized under Chapter 1 of Title VII of the Act. Any individual may seek information about IL services under these programs and request referral to other services and programs for individuals with significant disabilities, as appropriate. The determination of an individual's eligibility for IL services under the SILS and CIL programs meets the requirements of 34 CFR 364.51. 34 CFR 364.40(a), (b) and (c)
- 4.2 Service providers apply eligibility requirements without regard to age, color, creed, gender, national origin, race, religion or type of significant disability of the individual applying for IL services. 34 CFR 364.41(a)
- 4.3 Service providers do not impose any State or local residence requirement that excludes any individual who is present in the State and who is otherwise eligible for IL services from receiving IL services. 34 CFR 364.41(b)

Section 5: Staffing Requirements

- 5.1 Service provider staff includes personnel who are specialists in the development and provision of IL services and in the development and support of centers. 34 CFR 364.23(a)
- 5.2 To the maximum extent feasible, a service provider makes available personnel able to communicate:

- with individuals with significant disabilities who rely on alternative modes of communication, such as manual communication, nonverbal communication devices,
 Braille or audio tapes, and who apply for or receive IL services under Title VII of the Act; and in the native languages of individuals with significant disabilities whose English proficiency is limited and who apply for or receive IL services under Title VII of the Act. 34 CFR 364.23(b)
- 5.3 Service providers establish and maintain a program of staff development for all classes of positions involved in providing IL services and, if appropriate, in administering the CIL program. The staff development programs emphasize improving the skills of staff directly responsible for the provision of IL services, including knowledge of and practice in the IL philosophy. 34 CFR 364.24
- 5.4 All recipients of financial assistance under parts B and C of Chapter 1 of Title VII of the Act will take affirmative action to employ and advance in employment qualified individuals with significant disabilities on the same terms and conditions required with respect to the employment of individuals with disabilities under section 503 of the Act. 34 CFR 364.31

Section 6: Fiscal Control and Fund Accounting

6.1 All recipients of financial assistance under parts B and C of Chapter 1 of Title VII of the Act will comply with applicable EDGAR fiscal and accounting requirements and will adopt those fiscal control and fund accounting procedures as may be necessary to ensure the proper disbursement of and accounting for those funds. 34 CFR 364.34

Section 7: Recordkeeping, Access and Reporting

- 7.1 In addition to complying with applicable EDGAR recordkeeping requirements, all recipients of financial assistance under parts B and C of Chapter 1 of Title VII of the Act will maintain records that fully disclose and document:
 - the amount and disposition by the recipient of that financial assistance;
 - the total cost of the project or undertaking in connection with which the financial assistance is given or used;
 - the amount of that portion of the cost of the project or undertaking supplied by other sources;
 - compliance with the requirements of Chapter 1 of Title VII of the Act and Part 364 of the regulations; and
 - other information that the Commissioner determines to be appropriate to facilitate an effective audit. 34 CFR 364.35(a) and (b)
- 7.2 With respect to the records that are required by 34 CFR 364.35, all recipients of financial assistance under parts B and C of Chapter 1 of Title VII of the Act will submit reports that the Commissioner determines to be appropriate. 34 CFR 364.36

7.3 All recipients of financial assistance under parts B and C of Chapter 1 of Title VII of the Act will provide access to the Commissioner and the Comptroller General, or any of their duly authorized representatives, to the records listed in 34 CFR 364.37 for the purpose of conducting audits, examinations, and compliance reviews. 34 CFR 364.37

Section 8: Protection, Use, and Release of Personal Information

8.1 Each service provider will adopt and implement policies and procedures to safeguard the confidentiality of all personal information, including photographs and lists of names in accordance with the requirements of 34 CFR 364.56(a)(1-6). 34 CFR 364.56(a)

Section 9: Signatures

After having carefully reviewed all of the assurances in sections 1 - 8 of this SPIL, the undersigned hereby affirm that the State of <u>Indiana</u> is in compliance and will remain in compliance with the aforementioned assurances during 2008-2010.

The effective date of this SPIL is October 1, 2007.

SIGNATURE OF SILC CHAIRPERSON	DATE
Dee Ann Hart	
NAME OF SILC CHAIRPERSON	DATE
SIGNATURE OF DSU DIRECTOR	DATE
Peter Bisbecos	
NAME AND TITLE OF DSU DIRECTOR	DATE
SIGNATURE OF DIRECTOR OF THE SEPARATE	DATE
STATE AGENCY FOR INDIVIDUALS WHO ARE BLIND	
Gregory Jinks_	
NAME AND TITLE OF THE DIRECTOR OF THE	DATE
SEPARATE STATE AGENCY FOR INDIVIDUALS WHO ARE BLIND	

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Submittal: July 1, 2007

State: **INDIANA**

Part II: Narrative

Section 1: Goals, Objectives and Activities

1.1 Goals and Mission -34 CFR 364.42(b)(1)

Describe the overall goals and mission of the State's IL programs and services. The SPIL must address the goals and mission of both the SILS and the CIL programs, including those of the State agency for individuals who are blind as they relate to the parts of the SPIL administered by that agency.

The Vision/Mission of the Indiana Council On Independent Living is to effectively lead a statewide Independent Living Movement that empowers people with disabilities.

1.2 Objectives – 34 CFR 364.42(a)(1) and (d); 34 CFR 364.32; 34 CFR 364.33

1.2A Specify the objectives to be achieved and the time frame for achieving them.

Goal #1: expand systems advocacy Objective A: identify partners

Objective B: develop action plans for current disability issues: i.e., MFP, Olmstead, etc.

Timeline: ongoing

Evaluation Criteria: ally with disability related organizations within the State to collaboratively

move the systemic advocacy agenda forward Assigned Committee(s): Public Policy Committee

Goal #2: develop leadership from consumer populations

Objective A: grow potential ICOIL membership

(1) from diverse population including youth (2) provide training opportunities for potential and new members, i.e., ILRU online training, SILC Congress, etc.

Objective B: establish a succession plan for ICOIL leaders, including training

Timeline: ongoing

Evaluation Criteria: meaningful diligence in promotion of Independent Living Services is

recognized as empowerment Assigned Committee: SILC

Goal #3: increase funding for Independent Living

Objective A: strengthen existing CILs by ensuring stability

Objective B: expand network by adding one Federal and/or State funded CIL in the life of this SPIL

Objective C: secure additional funding to work with unserved/underserved populations

Objective D: review CIL geographic service areas; if appropriate, after review, develop a new design for the CIL network

Objective E: obtain additional funding for 5th core service Objective F: acquire sufficient funding for ICOIL and SPIL

Timeline: ongoing

Evaluation Criteria: independent living services dissonance alleviated in the State

Assigned Committee: SILC

Goal #4: improve ICOIL structure

Objective A: develop a business plan to support the growth and expansion of IL in Indiana Objective B: amend State IL statute to ensure continued compliance with Federal requirements Objective C: a comprehensive review and revision of by-laws, policies and procedures; append dates of establishment and sequence of revision on all ICOIL documents

Objective D: annual review of SPIL

Objective E: hire administrative consultant/executive director

Objective F: secure, design, and maintain a web site

Timeline: ongoing

Evaluation Criteria: a solid systematic progression of growth and expansion is visible to IL

stakeholders

Assigned Committee: SILC

1.2B Describe the steps planned regarding outreach to populations in the State that are unserved or underserved by programs under Title VII, including minority groups and urban and rural populations. This section of the SPIL must:

- Identify the populations to be designated for targeted outreach efforts;
- Identify the geographic areas (i.e., communities) in which the targeted populations reside;
 and
- Describe how the needs of individuals with significant disabilities from minority group backgrounds will be addressed.

The council has determined that all of Indiana is either unserved or underserved. ICOIL will develop an outreach page on its website. This site will be accessible and information will also be available in Spanish, Indiana's fastest growing minority population. The council's website will link to all centers' websites. The website will be used to communicate with the council members, as well as the general public.

1.3 Financial Plan – 34 CFR 364.42(a)(2) and (3); 34 CFR 364.29

Describe in sections 1.3A and 1.3B, below, the financial plan for the use of Federal and non-Federal funds to meet the SPIL objectives.

1.3A Financial Plan Tables

Complete the financial plan tables covering years 1, 2 and 3 of this SPIL. For each funding source, provide estimated dollar amounts anticipated for the applicable uses. The financial plan table should include only those funding sources and amounts that are intended to support one or more of the objectives identified in section 1.2 of the SPIL. To the extent possible, the tables and narratives must reflect the applicable financial information from centers for independent living. Refer to the SPIL Instructions for additional information about completing the financial tables and narratives.

Insert additional rows for the specific funding sources and amounts expected within the categories of Other Federal Funds and Non-Federal Funds.

Year 1

Sources	Approximate Funding Amounts and Uses			
	SILC	IL Services	General CIL	Other SPIL
	Resource		Operations	Activities
	Plan			
Title VII				
Funds				
Chapter 1, Part	\$10,905			\$340,948
В				
Chapter 1, Part			\$1,275,948	
C				
Chapter 2,		\$637,259		
Individuals				
Who are Older				
Blind				
Other				
Federal				
Funds				
Sec.				
101(a)(18) of				
the Act				
(Innovation				
and				
Expansion)				
Other				
Non-Federal				

Funds				
State Funds	\$39,095	\$70,806	\$2,499,994	\$16,921
Other			\$625,000	

Year 2

Sources	Approximate Funding Amounts and Uses			
	SILC	IL Services	General CIL	Other SPIL
	Resource		Operations	Activities
	Plan			
Title VII				
Funds				
Chapter 1, Part	\$10,905			\$340,948
В				
Chapter 1, Part		\$637,259	\$1,275,948	
C				
Chapter 2,				
Individuals				
Who are Older				
Blind				
Other				
Federal				
Funds				
Sec.				
101(a)(18) of				
the Act				
(Innovation				
and				
Expansion)				
Other				
Non-Federal				
Funds				
State Funds	\$39,095	\$70,806	\$2,499,994	\$16,921
Other			\$625,000	

Year 3

Sources	Approximate Funding Amounts and Uses			
	SILC	IL Services	General CIL	Other SPIL
	Resource		Operations	Activities
	Plan			
Title VII				
Funds				
Chapter 1, Part B	\$10,905			\$340,948
Chapter 1, Part			\$1,275,948	
Chapter 2, Individuals Who are Older Blind		\$637,259		
Other				
Federal				
Funds				
Sec. 101(a)(18) of the Act (Innovation and Expansion)				
Other				
Non-Federal Funds				
State Funds	\$39,095	\$70,806	\$2,499,994	\$16,921
Other			\$625,000	

1.3B Financial Plan Narratives

1.3B(1) Describe efforts to coordinate Federal and State funding for centers and IL services, including the amounts, sources and purposes of the funding to be coordinated.

Sources and estimated amounts of support for each year identified in this three year plan are as follows.

Federal Part B Funds	\$351,853
State Part B matching Funds	\$39,095
Federal Chapter 2 Funds	\$637,259
State Chapter 2 Matching Funds	\$63,726
Federal Part C Funds	\$1,275,948
State General Assembly Appropriated CIL Funds*	\$2,499,994
Non-Federal/State Funds Generated by CILs	\$625,000
Other State General Assembly Appropriated IL Funds	\$16,921

Coordination of these state, federal and local funds for centers and IL services will be conducted in a variety of ways.

SILC Resource Plan - The SILC Resource Plan will be funded using federal Part B funds (projected at \$10,905 per year) and state Part B matching funds (projected at \$39,095). These funds will be used to allow the SILC to meet its basic obligations under Title VII.

IL Services (Chapter 2) - State matching funds of an estimated \$70,806 per year with be used in combination with federal Title VII, Chapter 2 funds (projected at \$637,259 per year) to provided independent living services to individuals age 55 and older who are blind and visually impaired in Indiana. These funds will be used by the state to: 1. Make competitive awards to private entities that comply with requirements established by the state for the award, use and monitoring of part B and, 2. Pay for orientation and mobility skills training provided by private entities to consumers in the targeted group.

General CIL Operations - CILs will be funding through a combination of federal Part C funds (projected at \$1,275,948 per year), state funds (projected at \$2,500,000 per year*), and non-federal funds generated by CIL (projected at \$625,000 per year*). The intent of funding from these sources is to support the general operation of CILs. If the state funds referenced in this section become unavailable or reduced at any time during this plan, the CILs receiving this state funding will receive no less than the annual level of state administered funding received in FY 07 identified.

Other SPIL Activities - Other SPIL activities will be funded through a combination of federal Part B funds (projected at \$340,948 per year), and state funds (projected at \$16,921 per year). These funds will be used to assist the SILC in the completion of the goals and objectives identified in this SPIL (Other SPIL Activities). Toward this end, it is anticipated that funds may be used to obtain SILC administrative and/or fiscal support services, staff support, equipment, supplies, operating facilities, conduct SILC member and staff development activities, identify new CIL geographic services areas, identify

priorities for the strengthening and/or expansion of the network of Indiana CILS, web page development/support needed to conduct outreach, gather information, develop policies and procedure, present information as associated with the SPIL goals and objectives, and conduct other activities in order to enhance independent living services for individuals with disabilities. Any Part B funds not used by the SILC in any specific fiscal year will be carried over to the next fiscal year for uses consistent with this plan.

* The Indiana General Assembly allocated specific amounts of state funds for seven specific CILs in FY '08 and '09. CILs specifically identified for these funds, and the annual amounts designated for each identified CIL include: ATTIC, Inc. (\$265,651), Future Choices, Inc. (479,130), Indianapolis Resource Center for Independent Living (\$265,651), Independent Living Center of Eastern Indiana, Inc. (\$479,130), League for the Blind and Disabled, Inc. (\$265,651), Southern Indiana Center for Independent Living (\$265,651), The Wabash Independent Living and Learning Center, Inc. (\$479,130). These funds can not be used for any other purpose than those identified by the Indianan General Assembly.

1.3B (3) Provide any additional information about the financial plan, as appropriate.

If additional state controlled/administered funds become available during this three year plan, the additional funds may be used for other independent living related purposes, as determined jointly by the SILC and DSU.

1.3 B (4) Provide any additional information about the financial plan, as appropriate.

Not applicable

1.4 Compatibility with Title VII and the CIL Work plans – 34 CFR 364.42(c) and (e)

1.4A Describe how the SPIL objectives are consistent with and further the purpose of Chapter 1 of Title VII of the Act as stated in Section 701 of the Act and 34 CFR 364.2.

Revision of the ICOIL vision/mission statement (1.1), goals and objectives (1.2), and SWOT analysis was the result of a twelve hour facilitated discussion. Seven out of eight CIL directors attended. The DSU and individuals with disabilities were active participants in the deliberation. Review of 704 Reports relative to the SILCs priorities indicate that systems advocacy, consumer leadership, funding for independent living services, and improvement of SILC structure are areas members would like to develop.

1.4B Describe how, in developing the SPIL objectives, the DSU and the SILC considered and incorporated, where appropriate, the priorities and objectives established by centers for independent living under Section 725(c)(4) of the Act.

The DSU and the SILC participated in a two day facilitated discussion where all parties were invited to provide input on the goals, objectives, and priorities for the SPIL.

1.5 <u>Cooperation, Coordination, and Working Relationships among Various Entities – 34 CFR</u> 364.26

Describe the steps that will be taken to maximize the cooperation, coordination and working relationships among the SILS program, the SILC, and centers; the DSU, other State agencies represented on the SILC and other councils that address the needs of specific disability populations and issues; and other public and private entities determined to be appropriate by the SILC.

 The description must identify the entities with which the DSU and the SILC will cooperate and coordinate.

Efforts to maximize cooperation, coordination and communication will be an ongoing goal of this new SPIL. The Indiana Division of Disability & Rehabilitative Services (DDRS) and the Indiana Council on Independent Living (ICOIL) will work in cooperation and coordination to strengthen relationships with other agencies within the Bureau of Rehabilitative Services such as Blind & Visually Impaired Services and Deaf & Hard of Hearing Services. In addition to the agencies mentioned above, the DSU and ICOIL will strive to work with other agencies that provide services to individuals with disabilities. The SILC will seek representatives from the Division of Aging, the Bureau of Developmental Disabilities, the Housing Authority and other public and private entities and invite them to be a part of the SILC.

1.6 Coordination of Services – 34 CFR 364.27

Describe how IL services funded under Chapter 1 of Title VII of the Act will be coordinated with and complement other services to avoid unnecessary duplication with other Federal, State, and local programs, including the OIB program authorized by Chapter 2 of Title VII of the Act, that provide IL or VR-related services.

Blind & Visually Impaired Services administers the Chapter 2 program through contractual obligations with non-profit organizations, Independent Living Centers, rehabilitation facilities, private individuals, and other state agencies. The Older Blind program works in partnership with other community services where the elderly blind are unserved or underserved. Due to the narrow focus and uniqueness of the Older Blind program the probability of duplication of services is not high.

1.7 Independent Living Services for Individuals who are Older Blind – 34 CFR 364.28

Describe how the DSU seeks to incorporate into, and describe in, the State plan any new methods or approaches for the provision of IL services to older individuals who are blind that are developed under the Older Individuals who are Blind program and that the DSU determines to be effective.

Blind and Visually Impaired Services outsourced the state rehabilitation teaching program to a non profit organization which provides services in each county statewide. A percentage of the

Older Blind grant funds followed the outsourced teaching services which was a new strategy in service delivery. BVIS developed a program to attract an O&M Instructor to an underserved rural area of the state. A contract was developed offering salary and benefits above the national average as an incentive, however no serious O&M Instructor candidate came forth. BVIS developed an additional O&M program utilizing the available statewide O&M Instructors offering up to ten hours of consumer training time at the standard hourly rate. The O&M Instructors are responsible for obtaining referrals in their local areas.

Section 2: Scope, Extent, and Arrangements of Services

2.1 <u>Scope and Extent – 34 CFR 364.42(b)(2)(3); 34 CFR 364.43(b); 34 CFR 364.59(b)</u>

2.1A Check the appropriate boxes in the SPIL Instrument table indicating the types of IL services to be provided to meet the objectives identified in Section 1.2 of this SPIL, and whether the services will be provided by the CILs or by the DSU (directly and/or through contract or grant).

Table 2.1A: Independent living services	Provided by the DSU (directly)	Provided by the DSU (through contract and/or grant)	Provided by the CILs (Not through DSU contracts/ grants)
Core Independent Living Services, as follows:			
- Information and referral		X	X
- IL skills training		X	X
- Peer counseling		X	X
- Individual and systems advocacy		X	X
Counseling services, including psychological,			
psychotherapeutic, and related services			
Services related to securing housing or shelter,		X	X
including services related to community group			
living, and supportive of the purposes of this Act			
and of the titles of this Act, and adaptive housing			
services (including appropriate accommodations to			
and modifications of any space used to serve, or			
occupied by, individuals with significant			
disabilities)			
Rehabilitation technology			
Mobility training		X	X
Services and training for individuals with		X	X
cognitive and sensory disabilities, including life			
skills training, and interpreter and reader services			
Personal assistance services, including attendant			X
care and the training of personnel providing such			
services			
Surveys, directories and other activities to identify		X	X
appropriate housing, recreation, accessible			
transportation and other support services			
Consumer information programs on rehabilitation		X	
and IL services available under this Act, especially			
for minorities and other individuals with			
disabilities who have traditionally been unserved			
or underserved by programs under this Act			

Table 2.1A: Independent living services	Provided by the DSU (directly)	Provided by the DSU (through contract and/or grant)	Provided by the CILs (Not through DSU contracts/ grants)
Education and training necessary for living in the community and participating in community		X	X
activities			
Supported living			
Transportation, including referral and assistance		X	X
for such transportation			
Physical rehabilitation			
Therapeutic treatment			
Provision of needed prostheses and other			
appliances and devices			
Individual and group social and recreational		X	
services			
Training to develop skills specifically designed for		X	X
youths who are individuals with significant			
disabilities to promote self-awareness and esteem,			
develop advocacy and self-empowerment skills,			
and explore career options			
Services for children with significant disabilities			X
Services under other Federal, State, or local		X	
programs designed to provide resources, training,			
counseling, or other assistance of substantial			
benefit in enhancing the independence,			
productivity, and quality of life of individuals with			
significant disabilities		X	
Appropriate preventive services to decrease the		Λ	
need of individuals with significant disabilities for similar services in the future			
Community awareness programs to enhance the		X	
understanding and integration into society of		71	
individuals with disabilities			
Other necessary services not inconsistent with the		X	
Act			

2.1B Describe any service provision priorities, including types of services or populations, established for meeting the SPIL objectives identified in Section 1.2.

In Section 1.2 Goal # 2 states that the SILC will develop leadership from consumer populations.

2.1C If the State allows service providers to charge consumers for the cost of services or to consider the ability of individual consumers to pay for the cost of IL services, specify the types of

IL services for which costs may be charged and for which a financial need test may be applied, and describe how the State will ensure that:

- Any consideration of financial need is applied uniformly so that all individuals who are eligible for IL services are treated equally; and
- Written policies and consumer documentation required by 34 CFR 364.59(d) will be kept by the service provider.

Some IL services that the Centers offer for a nominal fee are low cost adaptive equipment such as CC TV's, talking watches, and other low vision aids. One of the Centers is selling durable medical equipment such as crutches, wheelchairs, and other adaptive equipment. One center is currently a vendor of CCTV's. Several of the Centers offer to third party individuals or businesses disability awareness training for a nominal fee. These fee for services are reported via their annual 704 report and the semi-annual report requested by the DSU. Each Center keeps their own written policies and consumer documentation when it comes to fee

Each Center keeps their own written policies and consumer documentation when it comes to fee for service transactions.

2.2 Arrangements for State-Provided Services – 34 CFR 364.43(d) and (e)

2.2A If the DSU will provide any of the IL services identified in section 2.1A through grants or contractual arrangements with third parties, describe such arrangements.

Blind & Visually Impaired Services through the DSU contracts with several entities which include Independent Living Centers to provide certain IL services such as orientation and mobility training, assistance with transportation, and information and referral services.

2.2B If the State contracts with or awards a grant to a center for the general operation of the center, describe how the State will ensure that the determination of an individual's eligibility for services from that center shall be delegated to the center.

The contract between the DSU and the CIL states that the consumer shall maintain a consumer service record that includes those materials and information that is required by 34 CFR 364.53.

Section 3: Design for the Statewide Network of Centers

3.1 Existing Network – 34 CFR 364.25

The Network of Centers for Independent Living is comprised of Centers for Independent Living that are consumer-controlled, community-based, cross-disability, nonresidential, private nonprofit organizations that are designed and operated within local communities by individuals with disabilities and provide an array of IL services, regardless of funding (see RSA Policy Directive RSA-PD-03-06). The Network of Centers currently provides IL services in Northwest, Northeast, Central, East Central, West Central, South Central and Southwest Indiana.

The State of Indiana has current contracts with seven (7) Centers in the State to provide independent living services in the following counties: Sullivan, Greene, Knox, Daviess, Martin,

Pike, Gibson, Blackford, Delaware, Grant, Howard, Madison, Randolph, Decatur, Fayette, Franklin, Henry Rush Union, Wayne, Boone, Hamilton, Hendricks, Hancock, Johnson, Marion, Morgan, Shelby, LaGrange, Steuben, Noble, Dekalb, Whitley, Allen, Wells, Adams, Jay, Huntington, Kosciusko, Monroe, Lawrence, Jackson, Orange, Washington, Crawford, Vermillion, Parke, Putnam, Vigo, and Clay. There are an additional two (2) centers receiving federal Part C dollars; serving Lake and Porter Counties.

3.2 Expansion of Network – 34 CFR 364.25

Describe the design for the further expansion of the network, including identification of the unserved and underserved areas in the State and the order of priority for serving these areas as additional funding becomes available (beyond the required cost-of-living increase).

1.(Part C funded) Centers, as described in 34 CFR 366.23, that comply with the standards and assurances in Section 725 (b) and (c) of the Act and subparts F and G of this part, first receive the level of funding each center received in the previous fiscal year. However, any funds received by an existing center to establish a new center at a different geographical location, pursuant to proposed 34 CFR 366.2 (b) (2), are not included in determining the level of funding to the existing center in any fiscal year that the new center applies for, and receives, funds as a separate center.

(State-Controlled funding) Existing centers, that receive State controlled IL funding, that comply with the standards and assurances in Section 725(b) and (c) of the Act and subparts F and G of this part, first receive the level of funding each center received in the previous fiscal year. However, any funds received by an existing center to establish a new center at a different geographical location, pursuant to 34 CFR 366.2 (b)(2), are not included in determining the level of funding to the existing center in any fiscal year that the new center applies for, and receives, funds as a separate center.

2.(Part C funding) Existing centers that meet the requirements of paragraph (1) of this section then receive a cost-of-living increase in accordance with procedures consistent with Section 721 (c) (3) of the Act. This would affect the following counties in Indiana: Knox, Daviess, Martin, Sullivan, Green, Pike, Gibson, Lake, Porter, Marion, Hamilton, Hendricks, Shelby, Johnson, Boone Hancock, Morgan, Lawrence, Monroe, Orange, Washington, Jackson, Crawford, Adams, Allen, DeKalb, Huntington, Jay, Kosciusko, LaGrange, Noble, Steuben, Wells, Whitley, Decatur, Fayette, Franklin, Henry, Rush, Union and Wayne.

(State-Controlled funding) Existing centers that receive only State controlled IL funding, that comply with the standards and assurances in Section 725(b) and (c) of the Act and subparts F and G of this part, then receive a cost-of-living increase in accordance with procedures consistent with Section 721(c)(3) of the Act.

3. New centers, as described in 34 CFR 366.2(b), that comply with the standards and assurances in Section 725 (b) and (c) of the Act and subparts F and G of this part. This

would affect the following counties in Indiana: Blackford, Delaware Grant, Howard Madison, Randolph, Vermillion, Parke, Putnam, Vigo, Clay, Newton, Jasper, Starke, Benton, Warren, Fountain, Montgomery, Tippecanoe, White, Pulaski, Fulton, Clinton, Carroll, Cass, Miami, Wabash, Tipton, Owen, Brown, Bartholomew, Dubois, Posey, Vandenburgh, Warrick, Spencer, Perry, Harrison, Floyd, Clark, Scott, Jefferson, Jennings, Switzerland, Ohio, Dearborn and Ripley. Centers that do not receive funding under Title VII, Part C of the Act shall be considered "new centers" for the purposes of applying for Part C funding to establish a new center.

- 4.If, after meeting the priorities in paragraphs (1), (2)(a), and (2)(b) of this Section, there are insufficient funds under the State's allotment under Section 721(c) and (d) of the Act to fund a new center under paragraph (a)(3) of this section, the Secretary may:
 - a. Use the excess funds in the State to assist existing centers, consistent with the State plan, including establishing parity among all the Part C funded Centers for Independent Living with Part C funds; or
 - b. Re-allot these funds in accordance with Section 721(d) of the Act.

According to DDRS, ICOIL, and CILs, the State of Indiana is identified by our partners as underserved. We also have 42 counties that are unserved, which means these counties receive no IL funding. Due to the current lack of funding in 2004/2005, 2005/2006, 2006/2007 it is projected that the entire state of Indiana will remain unserved/underserved.

3.3 Section 723 States Only – 34 CFR 364.39

THIS SECTION IS NOT APPLICABLE TO INDIANA.

- 3.3A If the State follows an order of priorities for allocating funds among centers within a State that is different from what is outlined in 34 CFR 366.22, describe the alternate order of priority that the DSU director and the SILC chair have agreed upon. N/A.
- 3.3B Describe how the State policies, practices and procedures governing the awarding of grants to centers and the oversight of these centers are consistent with 34 CFR 366.37 and 366.38.

Section 4: Designated State Unit (DSU)

- 4.1 <u>Administrative Support Services 34 CFR 364.4; 34 CFR 364.22(b)</u>
- 4.1A Describe the administrative support services to be provided by the DSU for the SILS (Part B) program and, if the State is a Section 723 State, for the CIL (Part C) program.

Provides coordination of logistics for ICOIL and for its meetings, room reservations, arrangements, resources and adaptive needs. Coordinates receivables and payables with ICOIL

Chairperson, Treasurer and others, as requested, required and/or as warranted. Prepares travel vouchers for ICOIL members within 2-3 days of receipt and facilitates timely payment. Insures notification to FSSA webmaster to post meeting notices on the FSSA website meeting calendar.

Assists the ICOIL in the development, implementation and coordination of the Indiana State Plan for Independent Living. Works with ICOIL to facilitate timely submission of the 3-year State Plan. May participate on the ICOIL State Plan committee. Insures timely submission and follow up as needed.

4.1B Describe other DSU arrangements for the administration of the IL program, if any.

Serves as liaison to the Indiana Council on Independent Living (ICOIL), Indiana Independent Living (IL) Centers, for the Assistant Director of the Bureau of Rehabilitation Services. Responds to the Council and RSA in a timely manner, meeting all deadlines. Reviews semi-annual and annual reports submitted by the Independent Living Centers. Upon request, researches and reviews the laws and regulations pertinent to Indiana's IL program for clarification and implementation. Responds to requests for assistance in a timely manner. Reviews Indiana's IL Program for compliance with the Indiana IL State Plan as well as state and federal requirements.

Section 5: Statewide Independent Living Council (SILC)

Section 5: SILC

5.1 Resources plan 34 CFR 364.21(i)

5.1A Describe the resource plan prepared by the SILC in conjunction with the DSU for the provision of resources, including staff and personnel, made available under parts B and C of Chapter 1 of Title VII, Section 101(a)(18) of the Act, and from other public and private sources that may be necessary to carry out the functions of the SILC identified in Section 705(c). The description must address the three years of this SPIL.

The SILC resource plan provides funds for each of the three years covered in the plan to carry out SILC activities as identified in Title VII, Section 705(c) of the Act. An amount of \$50,000 per year has been budgeted for this purpose and covers the cost of items such as SILC telephone costs; postage for SILC correspondence; SILC members' travel to SILC meetings, public hearings and other SILC functions; personal care attendants for SILC members, as needed, to attend/participate in SILC functions; sign language interpreters for SILC sponsored functions and meetings; and SILC member and prospective member training. The DSU will provide the SILC (as referenced in Section 1.3B (2) of this plan) with SILC related administrative/clerical and fiscal management staff support during each year of this plan.

5.1B Describe how the following SILC resource plan requirements will be addressed:

I. The SILC's responsibility for the proper expenditure of funds and use of resources that it receives under the resource plan.

The SILC Treasurer/Finance Committee will be responsible for monitoring all expenditures submitted to, and paid by, the DSU, as per Article VI Section 10 and Article VII Section 6 (2) of the SILC's Bylaws dated June 14, 2006. The DSU will process expenditures in accordance with the policies of the SILC, i.e., "Compliance with all Federal and State Laws and Regulations" Section, page 5, revised February 12, 2004 and, Indiana Division of Disability and Rehabilitative Services, Indiana Independent Living Program, Policies and Procedures, Section 4. Independence and Administration of ICOIL, Item C, dated August 2006, and in compliance with the processes established by the State for the receipt of, accounting for, and disbursement of, IL related funds, as per SILC policies.

II. Non-inclusion of conditions or requirements in the SILC resource plan that may compromise the independence of the SILC.

No conditions or requirements are stated or implied in the SILC resource plan that may compromise the independence of the SILC, as per:

- a. SILC "Independence and Administration of the SILC" Policy dated December 13, 2006
- b. SILC Bylaws dated June 14, 2006, Article III: Powers, Section 1
- c. Indiana Division of Disability and Rehabilitative Services, Indiana Independent Living Program, Policies and Procedures, Section 4. Independence and Administration of ICOIL, dated August 2006
- III. Reliance, to the maximum extent possible, on the use of resources in existence during the period of implementation of the State plan.

According to the SILC policies, "Compliance with all Federal and State Laws and Regulations" Section, page 5, revised February 12, 2004, "The SILC shall comply with any and all federal and state laws and regulations regarding its fiscal management." Inherent in this policy is a commitment by the SILC to comply with 34 CFR 364.21 (i) (2) requirements for the SILC to rely to the maximum extent possible, on the use of resources in existence during the period of implementation of the State Plan.

5.2 Establishment and Placement – 34 CFR 364.21(a)

Describe how the establishment and placement of the SILC ensures its independence with respect to the DSU and all other State agencies.

The SILC is not established as an entity within any State agency, including the DSU, and is independent of the DSU and all other State agencies. The following is a brief description of the legal status and placement of the SILC:

The SILC is a 501 (C) (3) non-profit organization and serves as its own fiscal agent.

5.3 Appointment and Composition -34 CFR 364.21(b) - (f)

Describe the process used by the State to appoint members to the SILC who meet the composition requirements in Section 705(b).

The SILC is currently a twenty member board (three ex-officio members) appointed by the Governor and is accountable to the Governor. The SILC functions independently of any state agency, as stated in Indiana law.

5.4 <u>Staffing – 34 CFR 364.21(j)</u>

Describe how the following SILC staffing requirements will be met:

- SILC supervision and evaluation, consistent with State law, of its staff and other personnel as may be necessary to carry out its functions.

Goal # 4 in this SPIL is to improve the ICOIL structure. One way of accomplishing this goal is to contract for SILC administrative support services in the first year of the new three-year State Plan. The council will have oversight and direct the activities of this support service contractor while carrying out their duties.

 Non-assignment of duties to SILC staff and other personnel made available by the DSU, or any other State agency or office that would create a conflict of interest while assisting the SILC in carrying out its duties.

The contract developed would be between the DSU and the contractor but would include language that states the SILC will have oversight that will insure no conflict of interest while assisting the SILC in carrying out its duties.

Section 6: Service Provider Requirements

Describe how the following service provider requirements will be met:

6.1 Staffing – 34 CFR 364.23; 34 CFR 364.24; 34 CFR 364.31

- Inclusion of personnel who are specialists in the development and provision of IL services and in the development and support of centers.
- Availability, to the maximum extent feasible, of personnel able to communicate (1) with individuals with significant disabilities who rely on alternative modes of communication, such as manual communication, nonverbal communication devices, Braille, or audio tapes and (2) in the native languages of individuals with significant disabilities whose English proficiency is limited and who apply for, or receive, IL services under Title VII of the Act.
- Establishment and maintenance of a program of staff development for all classes of positions involved in providing IL services and, where appropriate, in administering the

CIL program, improving the skills of staff directly responsible for the provision of IL services, including knowledge of, and practice in, the IL philosophy.

- Affirmative action to employ, and advance in employment, qualified individuals with significant disabilities on the same terms and conditions required with respect to the employment of individuals with disabilities under Section 503 of the Act.
- 1. To conduct, manage, and control the affairs and business of the SILC and, while doing so, to make any decisions and engage in any acts which are not inconsistent with federal or state law or the SILCs duly adopted bylaws. To perform any other act required of, or exercise any other power granted to, a Statewide Independent Living Council by the Rehabilitation Act of 1973, as amended, and its' implementing regulations, as set forth in IC 12-12-8.
- 2. All SILC policies and procedures, including proposed amendments thereto, must be voted upon pursuant to the bylaws and adopted at a duly called meeting of the SILC.
- 3. All proposed actions of each committee (standing and/or ad hoc), including the finance committee, as set forth in Article III, Section 1 of the bylaws adopted June 14, 2006, and any amendments thereto, must be presented to the SILC for a vote and adoption at a duly called meeting of the SILC.

All centers contracting with the State indicate they are in compliance with the Act and the regulations and Indiana Code per their annual 704 Reports.

- 6.2 Fiscal Control and Fund Accounting 34 CFR 364.34
- Adoption of those fiscal controls and fund accounting procedures as may be necessary to ensure the proper disbursement of and accounting for funds made available through parts B and C of Chapter 1 of Title VII of the Act, in addition to complying with applicable EDGAR fiscal and accounting requirements.

All centers contracting with the State indicate they are in compliance with the Act and the regulations and Indiana Code per their annual 704 Reports.

- 6.3 Record-Keeping, Access and Reporting 34 CFR 364.35; 34 CFR 364.36; 34 CFR 364.37
- Maintenance of records that fully disclose and document the information listed in 34 CFR 364.35.
- Submission of annual performance and financial reports, and any other reports that the Secretary determines to be appropriate
- Access to the Commissioner and the Comptroller General, or any of their duly authorized representatives, for the purpose of conducting audits, examinations, and compliance reviews, to the information listed in 34 CFR 364.37.

All centers contracting with the State indicate they are in compliance with the Act and the

regulations and Indiana Code per their annual 704 Reports.

- Eligibility of any individual with a significant disability, as defined in 34 CFR 364.4(b), for IL services under the SILS and CIL programs.
- Ability of any individual to seek information about IL services under these programs and to request referral to other services and programs for individuals with significant disabilities.
- Determination of an individual's eligibility for IL services under the SILS and CIL programs in a manner that meets the requirements of 34 CFR 364.51.
- Application of eligibility requirements without regard to age, color, creed, gender, national origin, race, religion, or type of significant disability of the individual applying for IL services.
- Non-exclusion from receiving IL services of any individual who is present in the State and who is otherwise eligible for IL services, based on the imposition of any State or local residence requirement.

All centers contracting with the State indicate they are in compliance with the Act and the regulations and Indiana Code per their annual 704 Reports.

6.5 Independent Living Plans – 34 CFR 364.43(c)

– Provision of IL services in accordance with an IL plan complying with Sec. 364.52 and mutually agreed upon by the individuals with significant disabilities and the appropriate service provider staff unless the individual signs a waiver stating that an IL plan is unnecessary.

All centers contracting with the State indicate they are in compliance with the Act and the regulations and Indiana Code per their annual 704 Reports.

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6.6 Client Assistance Program (CAP) Information – 34 CFR 364.30
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– Use of accessible formats to notify individuals seeking or receiving IL services under Chapter 1 of Title VII about the availability of the CAP program, the purposes of the services provided under the CAP, and how to contact the CAP.

All centers contracting with the State indicate they are in compliance with the Act and the regulations and Indiana Code per their annual 704 Reports.

6.7 Protection, Use and Release of Personal Information – 34 CFR 364.56(a)

– Adoption and implementation of policies and procedures meeting the requirements of 34 CFR 364.56(a), to safeguard the confidentiality of all personal information, including photographs and lists of names.

All centers contracting with the State indicate they are in compliance with the Act and the regulations and Indiana Code per their annual 704 Reports.

Section 7: Evaluation

Describe the method that will be used to periodically evaluate the effectiveness of the plan in meeting the objectives established in Section 1. The description must include the State's evaluation of satisfaction by individuals with significant disabilities who have participated in the program. 34 CFR 364.38

There will be a method for the routine analysis of the effectiveness of the plan in meeting the evaluation objectives. In the construction of a website, and the two measurement tools will be a consumer satisfaction survey and a needs assessment, which will be implemented to appraise proficiency and satisfaction by individuals with disabilities

Section 8: State-Imposed Requirements

Identify any State-imposed requirements contained in the provisions of this SPIL. Indicate N/A if not applicable. 34 CFR 364.20(h)

IC 4-1-7.1: Expiration of Certain Agencies

Ex Order: 0408, April 27, 2004

IC 4-2-6, 4-2-7: Ethics and Conflicts of Interest

IC 4-10: State Funds Generally

IC 4-12: Appropriations Management

IC 4-13: Administrative Management State Services, Employees, Purchases, &

Property

IC 4-15: Personnel Administration

IC 5-3-1-, 5-3-2: Publication of Notices

IC 5-3-2: Newspapers Qualified to Publish Legal Notices

IC 5-14: Public Records and Public Meetings

IC 5-15: Preservation of Public Record

IC 5-17: Public Purchases

IC 5-19: Federal Aid

IC 5-22: Public Purchasing

IC 5-24: Electronic Digital Signature Act

IC 12-9-5: Duties of Division of Disability, Aging, and Rehabilitative Services

IC 12-12: Rehabilitation Services

IC 22-9-1-10: Labor and Industrial Safety: Civil Rights

IC 23-5 Business and Other Associations

IAC 25-1.1: State Procurement

IAC 25-5: Minority and Women's Business Enterprises

IAC 62: Office of the Public Access Counselor

IAC 460: Division of Disability, Aging and Rehabilitative Services